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PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Stephen A. Johnston, et al.

Serial No.: 10/023,437

Filed: December 17, 2001

For: METHODS AND COMPOSITIONS FOR  
VACCINATION COMPRISING  
NUCLEIC ACID AND/OR  
POLYPEPTIDE SEQUENCES OF  
CHLAMYDIA

Group Art Unit: 1635

Examiner: Unknown

Atty. Dkt. No.: UTSD:736US/MBW

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below.

March 21, 2002

Date

Mark B. Wilson

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated February 25, 2002, there are enclosed herewith:

- (a) Two Declarations executed on behalf of Stephen A. Johnston, Katherine Stemke-Hale, Kathryn F. Sykes, and Bernhard Kaltenboeck;
- (b) Two Powers of Attorney on behalf of Board of Regents, The University of Texas System and Auburn University;

- (c) Our check in the amount of \$1164.00 to cover the basic filing fee (\$370.00 - utility small entity); surcharge for late filing (\$65.00); additional claims fee (\$729.00); and
- (d) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b)-Filing Date Granted.

Assignments to Board of Regents, The University of Texas System and Auburn University and a check for \$40.00 each are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10112032/MBW.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Mark B. Wilson  
Reg. No. 37,259  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: March 21, 2002



TELEPHONE: 512/536-5201  
FACSIMILE: 512/536-5201

MARK B. WILSON  
PARTNER

INTERNET ADDRESS:  
MBWILSON@FULBRIGHT.COM

DIRECT DIAL: 512/536-3035

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March 21, 2002

FILE: UTSD:736US

### CERTIFICATE OF MAILING 37 C.F.R 1.8

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March 21, 2002

Date

Mark B. Wilson

### BOX MISSING PARTS

Commissioner for Patents  
Washington, DC 20231

RE: *U.S. Patent Application No. 10/023,437 entitled "METHODS AND COMPOSITIONS FOR VACCINATION COMPRISING NUCLEIC ACID AND/OR POLYPEPTIDE SEQUENCES OF CHLAMYDIA" – by Stephen A. Johnston, et al. (Client reference: UTSMC/DAL:736)*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed February 25, 2002;
- (2) Two Declarations;
- (3) Two Powers of Attorney;
- (4) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (5) Check in the amount of \$1164.00; and

Commissioner for Patents

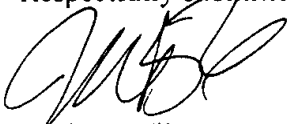
March 21, 2002

Page 2

- (6) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10112032/MBW.

Respectfully submitted,



Mark B. Wilson  
Reg. No. 37,259

MBW/jle

Encl: as noted

#4



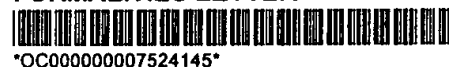
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/023,437         | 12/17/2001          | Stephen A. Johnston   | UTSD:736US             |

CONFIRMATION NO. 2358

FORMALITIES LETTER



\*OC00000007524145\*

FULBRIGHT & JAWORSKI L.L.P.  
SUITE 2400  
600 CONGRESS AVENUE  
AUSTIN, TX 78701

Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$729.
  - \$477 for 53 total claims over 20.
  - \$252 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1164.

*A copy of this notice **MUST** be returned with the reply.*

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|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:205 | 65.00 OP  |
| 03 FC:203 | 477.00 OP |
| 04 FC:202 | 252.00 OP |

PART 2 - COPY TO BE RETURNED WITH RESPONSE